

FACULTY

BRYAN R. FELDHAUS
COURSE CO-CHAIR
Lommen Abdo, P.A.
Minneapolis

LISA BETH LENTINI WALKER
COURSE CO-CHAIR
CEO & Founder
Lumen Worldwide Endeavors
Minnetonka

NICOLE BLISSENBACH
Assistant Commissioner
Minnesota Department of Labor and Industry
Saint Paul

DOUGLAS K. CHIA
President
Soundboard Governance LLC
Princeton, New Jersey

MATT COTTON
Partner
Ernst & Young
Minneapolis

COLLEEN DORSEY
Director of Organizational
Ethics and Compliance
University of St. Thomas School of Law
Minneapolis

W. ANDERS FOLK
First Assistant U.S. Attorney
U.S. Attorney's Office – District of Minnesota
Minneapolis

MICHAEL C. GLOVER
Lommen Abdo, P.A.
Minneapolis

JENNI MORINE, PHR, SHRM-CP
Director of Human Resources
King Solutions, Inc.
Dayton

PHYLLIS NORDSTROM
Senior Vice President
Chief Risk and Compliance Officer
MTS Systems Corporation
Eden Prairie

SARAH L. OQUIST
Oquist McFadden Consulting, PLLC
Minneapolis

AMY C. SEIDEL
Faegre Drinker Biddle & Reath LLP
Minneapolis

ERIC T. YOUNG
Founder and CEO
Young Enterprises LLC
Fairfield, Connecticut

AYME ZEMKE
Senior Vice President, Client Service
Beehive Strategic Communication
Saint Paul

Nonprofit Org.
U.S. Postage
PAID
Minnesota Continuing
Legal Education

Minnesota Continuing
Legal Education
2550 UNIVERSITY AVE W #160-S, SAINT PAUL MN 55114



DATED MATERIAL – PLEASE EXPEDITE!



NOW
ONLINE!

The 2020 Midwest

LEGAL COMPLIANCE CONFERENCE

Friday, October 23, 2020
LIVE ONLINE –
NO IN-PERSON ATTENDANCE



MINNESOTA CONTINUING
LEGAL EDUCATION
Your Success Is Our Goal

ONLINE ONLY
Friday, October 23, 2020

*In-Person Passholders – You may use your Pass
to register for the online conference at no charge.*

The 2020 Midwest

LEGAL COMPLIANCE CONFERENCE

For In-House Counsel & Compliance Practitioners

- Get the **Latest Compliance Information** for 2020, including **New Guidelines** from the Department of Justice
- **Update** your Office Practice with our **Elimination of Bias Session**: How to Create a Safe and Productive Workplace after Trauma
- **Be Prepared** for the Future with Cutting-Edge Advice on **Ethics and AI**
- And More!

BONUS!

Free Elimination of Bias Session to watch at your leisure!

Details inside.

SCHEDULE

8:30 – 9:00 a.m. JOIN ONLINE

9:00 a.m. – 9:45 a.m.

Top 5 Take-Aways for Compliance Professionals in 2020

– Bryan R. Feldhaus & Lisa Beth Lentini Walker

9:45 – 10:45 a.m.

New Guidelines: The Department of Justice, the Foreign Corrupt Practices Act and Corporate Compliance – What New Guidance from the Department of Justice Means for Corporate Compliance Programs

– W. Anders Folk

10:45 – 11:00 a.m. BREAK

11:00 a.m. – 12:00 p.m.

Creating a Supportive and Productive Workplace in the Face of Trauma

All employees face personal situations from time to time that can impact their productivity and efficiency at work. In 2020, it is not surprising to have employees around us who have experienced trauma. Whether dealing with racism, losing a loved one to an illness, navigating grave financial uncertainty, or handling an extreme amount of responsibilities, employees are being stretched in unprecedented directions. Creating a supportive workplace culture can be the difference between an employee thriving and continuing to contribute versus an employee struggling and being challenged in an environment of shame and misunderstanding. This session will explore what we can be doing to create a workplace culture that gives all our employees the best chance for success despite navigating difficult personal situations.

1.0 elimination of bias credit applied for

– Sarah L. Oquist

12:00 – 1:00 p.m. LUNCH HOUR NETWORKING SESSION

1:00 – 2:00 p.m. BREAKOUT SESSION A

101

Using the Power of Communication to Lead through Crisis

Compliance leaders often manage high-risk, emotionally charged situations for their organizations. This has been especially true in 2020 as businesses navigate the impacts of a global pandemic, economic crisis and social justice issues. How an organization responds in a crisis will have a long-lasting impact on its reputation and ability to earn trust. In this session, we'll focus on using strategic communication to move businesses forward with clarity during a crisis. We'll talk through best practices and share tools to help compliance leaders successfully manage through high-stakes situations.

– Ayme Zemke

102

Enhancing Third Party Management and Oversight

Third parties play a critical role in business operations and contribute greatly to organizational success. In the face of these challenging times, reliance on third parties, without proper oversight and governance can contribute to increased risk(s). During our discussion we'll explore the following related to Third Party Management and Oversight:

- Key Trends, Insights and Leading Practices on Third Party Governance and Management
- Designing and Executing a Risk-Based Third Party Program
- Addressing Government Expectations for Third Party Management

– Matt Cotton & Phyllis Nordstrom

2:00 – 2:15 p.m. BREAK

2:15 – 3:15 p.m. BREAKOUT SESSION B

NUTS AND BOLTS

201

The Growing Partnership Between the Independent Director and Chief Ethics and Compliance Officer – Holding Management More Accountable

Learn how and why Chief Ethics and Compliance Officers (CECOs) can add – and preserve – strategic value to the longer-term fiduciary duties of care and loyalty of Corporate Boards of Directors to society, customers and employee stakeholders and shareholders.

– Douglas K. Chia, Amy C. Seidel & Eric T. Young

202

COVID Compliance in the Workplace

Hear the perspectives of three professionals on COVID-19 in the workplace today and into the future. A current Human Resource Director at a national transportation and logistics company will give her thoughts on implementation of established regulations for employers and some of the challenges they pose. From the state government perspective, a current assistant commissioner of the Minnesota Department of Labor and Industry will explain the department's COVID-19 response and efforts to keep workplaces operating safely. Finally, a practicing private employment attorney will discuss the concerns of both employees and employers facing new workplace rules, protections and potentials for liability. Following the presentations, attendees can ask questions concerning their clients or workplaces.

– Nicole Blissenbach, Michael C. Glover & Jenni Morine

3:15 – 3:20 p.m. BREAK

3:20 – 4:20 p.m.

Ethics and AI: How to Navigate the Virtual World

1.0 ethics credit applied for

– Colleen Dorsey

FREE ON DEMAND PROGRAM

ADDITIONAL ELIMINATION OF BIAS CREDIT!

As a special bonus of registering for the Conference registrants may view the on demand program below for free! A coupon code, along with instructions on how to register for free at www.minncle.org, will be provided with your conference materials.

Elimination of Bias: Interrupting Stigma While Surviving and Thriving in Challenging Times

1.0 elimination of bias credit applied for

In the best of times, the legal profession is at risk for high levels of stress and mental health challenges. During challenging times, our professional and personal lives may seem out of control and this can affect our work and relationships. This program will identify normal feelings, reactions, and behaviors, and recognize when they are cause for concern. It will examine the role of stigma and bias in preventing us from asking for and accessing help for mental health issues. The program will also identify tools to cope and to thrive while developing and enhancing skills to identify and address individual needs.

– Joan Bibelhausen; Executive Director, Lawyers Concerned for Lawyers
– Bob Schuneman; Outreach Coordinator, Lawyers Concerned for Lawyers

COURSE INFORMATION

ONLINE PROGRAM

Friday, October 23, 2020
View online at www.minncle.org
Registration for online program must be made online at www.minncle.org

IN-PERSON PASSHOLDERS

You may use your Pass to register for the online program at no charge.

COURSE MATERIALS

All course materials will be provided electronically.

CREDITS – LEGAL COMPLIANCE CONFERENCE

Minnesota CLE is applying to the Minnesota State Board of CLE for **5.75 CLE credits, including 1.0 elimination of bias credit and 1.0 ethics credit.** The maximum number of total credits attendees may claim is 5.75 credits.

Attendees also may earn 5.75 Compliance Certification Board (CCB)® continuing education units for participating in this conference.

CREDITS – BONUS ON DEMAND PROGRAM

Minnesota CLE is applying to the Minnesota State Board of CLE for **1.0 elimination of bias credit** for the free bonus on demand program.

SCHOLARSHIPS AVAILABLE

Minnesota CLE maintains a scholarship program for those with a financial need. Contact Grant at gdavies@minncle.org or **651-254-2111** for details or to obtain an application.

ACCOMMODATION

If you have a disability and need an accommodation in order to attend this seminar, please contact Minnesota CLE as soon as possible at 2550 University Avenue West, Suite 160-S, Saint Paul, MN 55114 or call us at 651-227-8266 or 800-759-8840.

CANCELLATION / NO-SHOW POLICY

Paid registrants who cancel before the seminar will receive a full credit on their account, or refund upon request. Paid registrants who do not cancel and are unable to attend will retain access to the seminar materials through their website account. Passholders may purchase the materials at 50% of the full retail price.

QUESTIONS?

customerservice@minncle.org
www.minncle.org
800-759-8840

Register today at www.minncle.org

The 2020 Midwest

LEGAL COMPLIANCE CONFERENCE

FRIDAY, OCTOBER 23, 2020
ATTEND ONLINE

ONLINE CONFERENCE:
FRIDAY, OCTOBER 23, 2020

Registration must be made online at www.minncle.org

TUITION:

\$295 MSBA member

\$295 paralegal

\$345 standard rate

Passholders attend at no charge.

In-Person Passholders –

You also may use your Pass to register for the online conference at no charge.

NEW LAWYER DISCOUNT!

New lawyers who have been admitted to the bar fewer than 3 years receive a 50% discount.

SCHOLARSHIPS AVAILABLE

Minnesota CLE maintains a scholarship program for those individuals with a financial need. To obtain a scholarship application, contact Grant at gdavies@minncle.org.

JOIN THE MSBA AND PAY LESS FOR MINNESOTA CLE SEMINARS!

To join, go to www.mnbar.org

Or for more membership advantages call 1-800-882-6722.

20-3373-21 | ams

CAN'T ATTEND?
GET THE COURSE MATERIALS!



You can purchase the materials for \$75 (plus tax and handling) by calling Minnesota CLE at 800-759-8840 or 651-227-8266. Available in hardcopy. Orders will be filled after the seminar.